



## Code of Ethics

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### Introduction

The CAPP Certification Board has adopted a Code of Ethics and Professional Responsibility (Code of Ethics) that establishes the expected level of professional conduct and practice for CAPP designees. The CAPP Certification Board retains the right to amend the Code of Ethics as required.

To promote and maintain the integrity of its CAPP certification for the benefit of credential holders and stakeholders, the CAPP Certification Board has the ability to enforce the provisions of the Code of Ethics. The CAPP Certification Board shall be required to enforce sanctions against CAPPs who violate the regulations as written in the Code of Ethics. The CAPP Certification Board will follow the disciplinary rules and procedures set forth below when enforcing the Code of Ethics.

### CAPP Code of Ethics

The CAPP Certification Board is the sole body authorized to award the CAPP designation. The CAPP designation is widely recognized and bestows a recognized level of competence knowledge in the field of professional parking, transportation and mobility management. Part of that knowledge relates to an understanding that CAPPs will abide by the Code of Ethics. The purpose of this Code is to direct CAPPs to conduct themselves with honesty, professionalism, integrity and fairness, and to provide a benchmark code of conduct that stakeholders may expect.

To this end, the CAPP Certification Board hereby establishes this Code of Ethics stating that all CAPPs are bound to:

- I. Conduct their businesses according to high standards of honesty and fairness and to render that service to customers so that any “prudent person” would agree that the CAPPs conduct themselves and their businesses in a manner that is beyond reproach.
- II. Provide “customer-centric” service that serves all stakeholders, and specifically protects the public.
- III. Abide by all applicable governing rules, regulations and standards.

The Code consists of two parts: The Principles and The Rules. The Principles embody the ethical and professional standards expected of CAPPs. These principles address the “substance” and not merely the “form” of service to customers and employers. The principles are the guidelines of professional conduct, the same conduct that any customer would expect of any professional on whom they rely. The rules serve as a description of best-practice or CAPP standards and outline how the principles must be implemented in specific circumstances.

## **The Principles**

### **Principle 1.**

Competence: The CAPP shall provide services to stakeholders in a manner that demonstrates competency. Competency must be maintained through participation in recertification activities that demonstrate the CAPP has maintained currency in the necessary knowledge, skills and abilities required in the role of the CAPP.

Competence is defined as having adequate levels of knowledge and skill to provide appropriate service in the parking, transportation and mobility profession as judged by one's peers. Competence includes the recognition that when one is involved in matters beyond one's competence, one must withdraw or seek appropriate professional assistance. Competence also includes maintaining one's level of knowledge through continuing education/recertification.

### **Principle 2.**

Confidentiality: A CAPP shall not disclose any confidential customer information without the specific written consent of the customer unless the disclosure is made in response to a legal proceeding, to defend against charges of wrongdoing by the CAPP, or in connection with a civil dispute between the CAPP and a claimant. Confidentiality is a fundamental aspect of trust on which the professional customer relationship is based.

### **Principle 3.**

Professionalism: A CAPP's conduct in all matters shall reflect professionalism and good character, as expected by the CAPP designation. A CAPP represents the credential and may not behave in any manner that would discredit the credential.

### **Principle 4.**

Fairness and Integrity: A CAPP shall perform professional services in a manner that is fair and reasonable to customers, prospective customers, colleagues, employers, and regulators, and shall disclose any conflicts of interest associated with service as a CAPP. Fairness includes subordinating one's own personal interest when it conflicts with the stakeholder's interests and when such conflicts are unclear, attempting to balance the interests of all parties equitably. The CAPP must demonstrate integrity by living according to high moral principles, and serving the public with steadfast adherence to the CAPP Code of Ethics Rules and Principles, and the policies and procedures of the CAPP Certification Board.

## The Rules

### Rules that Relate to Principle I: Competence

**Rule 101:** CAPPs shall keep informed of developments in the profession and participate in continuing education throughout their professional careers in order to improve professional competence in all fields in which the designee is involved. CAPPs shall satisfy all minimum continuing education requirements established by the CAPP Certification Board.

**Rule 102:** CAPPs shall provide service and expertise only in those areas where they have competence. In those areas where the CAPP is not professionally competent, the CAPP shall seek the counsel of qualified individuals and/or refer stakeholders to such persons or withdraw from providing service or expertise.

### Rules that Relate to Principle II: Confidentiality

**Rule 201:** A CAPP shall not reveal or use, without the customer's written consent, any personally identifiable information relating to the customer except and to the extent that disclosure or use is reasonably necessary to:

- a) Comply with legal requirements or legal process; or
- b) Defend the CAPP against charges of wrongdoing; or
- c) Defend the CAPP in connection with a civil dispute between the CAPP and the customer.

**Rule 202:** A CAPP shall maintain the same standards of confidentiality to employers and employees as to customers.

### Rules that Relate to Principle III: Professionalism

**Rule 301:** A CAPP shall use the designation in compliance with the current rules and regulations of the CAPP Certification Board, as established and amended.

**Rule 302:** A CAPP shall show respect for other professionals and related occupational groups by engaging in fair and honorable competitive practices.

**Rule 303:** A CAPP who has knowledge that another CAPP has committed a violation of this Code shall promptly notify the CAPP Appeals and Discipline Committee. A violation would be any act that raises substantial questions as to another CAPP's honesty, integrity, competence, trustworthiness or fitness to practice. For the purposes of this Rule, knowledge means no substantial doubt.

**Rule 304:** A CAPP who has knowledge that raises a substantial question of legally actionable, unprofessional, fraudulent or illegal conduct by a CAPP shall promptly inform the appropriate regulatory body if appropriate, as well as the CAPP Appeals and Discipline Committee. For purposes of this Rule, knowledge means no substantial doubt.

## CAPP Code of Ethics (cont.)

**Rule 305:** A CAPP who has reason to suspect illegal conduct within the designee's organization shall make timely disclosure of the available evidence to the designee's immediate supervisor and/or partners or co-owners. If the CAPP is convinced that illegal conduct exists within the designee's organization, and that appropriate measures are not being taken to remedy the problem, the CAPP shall, where appropriate, alert the proper regulatory authorities, and the CAPP Certification Board.

**Rule 306:** In all professional activities, a CAPP shall perform services in accordance with:

- a) Applicable laws, rules and regulations of governmental and other applicable authorities; and
- b) Applicable rules, regulations and other established policies of the CAPP Certification Board.

**Rule 307:** CAPPs shall not engage in any conduct that reflects adversely on their integrity or fitness to practice.

**Rule 308:** A CAPP shall always act in the best interest of the customer and/or stakeholders, serving the overarching requirement to protect the public.

### Rules that Relate to Principle IV: Fairness and Integrity

**Rule 401:** A CAPP shall, in rendering services, disclose all material information relevant to the professional relationship, including but not limited to conflict(s) of interest(s), changes in the CAPP's employment affiliation, address, telephone number, credentials, and qualifications.

**Rule 402:** A CAPP who is an employee shall perform professional services with dedication to the lawful objectives of the employer and in accordance with this Code.

**Rule 403:** A CAPP shall not, during the course of rendering professional services, engage in conduct that involves dishonesty, fraud, deceit or misrepresentation, or knowingly make a false or misleading statement to a customer, employer, employee, professional colleague, governmental or other regulatory body or official, or any other person or entity.

**Rule 404:** A CAPP is prohibited from the unauthorized or misleading use of the CAPP credential. If the CAPP's renewal date has passed and the CAPP has not fulfilled requirements to maintain certification, the CAPP designation may not be used until the CAPP meets all requirements and pays all outstanding fees and fines. Additionally, CAPPs are prohibited from using the CAPP designation to represent themselves as specialists in a particular product or service, as having specific knowledge that they do not possess or from using the designation in any way to mislead stakeholders about their expertise or breadth of experience or knowledge.

**Rule 405:** A CAPP shall conduct him/herself with honor and dignity.

**Rule 406:** A CAPP shall not discriminate against others based on, but not limited to, gender, race, age, religion, disability, nationality, or sexual orientation.

### Violation of the Code of Ethics

CAPPs who violate the Code of Ethics shall be subject to disciplinary actions. The Disciplinary Policy and Procedures may be found on the CAPP portion of the IPMI website at [parking-mobility.org/capp](http://parking-mobility.org/capp).